

**PATENT APPLICATION FEE DETERMINATION RECORD**

**Substitute for Form PTC-476**

APPLICATION AS FILED - PART I

(Column 1)

(Column 2)

(Column 1)		(Column 2)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA		RATE (\$)	Fee (\$)		RATE (\$)	Fee (\$)	
BASIC FEE (37 CFR 1.16(a), (b), or (c))									
SEARCH FEE (37 CFR 1.16(k), (l), or (m))									
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))									
TOTAL CLAIMS (37 CFR 1.16(l))	30	minus 20 =							
INDEPENDENT CLAIMS (37 CFR 1.16(n))	(3)	minus 3 =							
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).				X	=	X	18	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(l))					X	=	X	86	
* If the difference in column 1 is less than zero, enter '0' in column 2.						TOTAL		250	
						TOTAL		290	
						TOTAL		770	

If the difference in column 1 is less than zero, enter "0" in column 2.

If the difference in column 1 is less than zero, enter "0" in column 2.

APPLICATION AS AMENDED - PART II

5-707

AMENDMENT A	(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDI- TIONAL FEE (\$)		
Total (37 CFR 1.16(g))	9	Minus	** 20	=	X	=	X 50	=
Independent (37 CFR 1.16(g))	8	Minus	*** 3	=	X	=	X 200	=
Application Size Fee (37 CFR 1.16(s))								
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(g))								
	(Column 1)		(Column 2)		(Column 3)			

**(Column 1)**

Guttmann

(Column 3)

**TOTAL**

TOTAL

770

“If the entry in column 1 is less than the entry in column 2, write 1; otherwise, write 0.”

\*\* If the 'Highest Number Previously Paid For' IN THIS SPACE Is less than 20, enter "20".

If the Highest Number Previously Paid For IN THIS SPACE Is less than 20, enter "2".  
If the Highest Number Previously Paid For IN THIS SPACE Is less than 9, enter "3".

The Highest Number Previously Paid For (Total or Independent) is the highest number found in the appropriate box in column 1. This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a trademark or USPTO to process an application. Confidentiality is guaranteed. This information is not to be used for any other purpose, including advertising.

The Highest Number Previously Paid For IN THIS SPACE is less than 9, enter "3". The Highest Number Previously Paid For (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

**PATENT APPLICATION FEE DETERMINATION RECORD**

Substitute for Form PTO-676

**APPLICATION AS FILED - PART I**

(Column 1)

(Column 2)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE (37 CFR 1.16(e), (f), or (g))		
SEARCH FEE (37 CFR 1.16(k), (l), or (m))		
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))		
TOTAL CLAIMS (37 CFR 1.16(l))	30 minus 20 =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	3 minus 3 =	
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(q))		

RATE (\$)

FEES (\$)

X =

OR

RATE (\$)

FEES (\$)

770

TOTAL

OR

RATE (\$)

FEES (\$)

250

290

TOTAL

170

\* If the difference in column 1 is less than zero, enter "0" in column 2.

**APPLICATION AS AMENDED - PART II**

5-707

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

AMENDMENT A	RCE	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total (37 CFR 1.16(l))	9	Minus	20 =
	Independent (37 CFR 1.16(h))	2	Minus	3 =
	Application Size Fee (37 CFR 1.16(s))			

RATE (\$)

ADDITIONAL  
FEE (\$)

X =

OR

RATE (\$)

ADDITIONAL  
FEE (\$)

50

300

OR

360

OR

TOTAL  
ADDITIONAL  
FEE

TOTAL  
ADDITIONAL  
FEE

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total (37 CFR 1.16(l))	Minus	20 =
	Independent (37 CFR 1.16(h))	Minus	3 =
	Application Size Fee (37 CFR 1.16(s))		

RATE (\$)

ADDITIONAL  
FEE (\$)

X =

OR

RATE (\$)

ADDITIONAL  
FEE (\$)

X =

OR

100

OR

TOTAL  
ADDITIONAL  
FEE

TOTAL  
ADDITIONAL  
FEE

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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